


BAKER BOTTS LLP

Please type a plus sign (+) inside this box → 

03945 U.S. PTO  
10/661873

09/12/03

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	<b>Attorney Docket No.</b>	068062.0167
	<b>First Inventor</b>	Edgar Voelkl
	<b>Title</b>	System and Method * see attached
	<b>Express Mail Label No.</b>	EV341125697US

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification [Total Pages 48] (preferred arrangement set forth below) <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li></ul>	a. <input type="checkbox"/> Computer Readable Form (CRF)
<input checked="" type="checkbox"/> Claim(s) [Total Sheets 24]	b. Specification Sequence Listing on: <ul style="list-style-type: none"><li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li><li>ii. <input type="checkbox"/> paper</li></ul>
<input checked="" type="checkbox"/> Abstract of the Disclosure [Total Sheets 1]	c. <input type="checkbox"/> Statements verifying identity of above copies
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 6]	<b>ACCOMPANYING APPLICATION PARTS</b>
5. Oath or Declaration [Total Pages 3] <ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed)</li><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li></ul>	9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement [Power of Attorney] (when there is an assignee)
	11. <input type="checkbox"/> English Translation Document (if applicable)
	12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]
	13. <input type="checkbox"/> Preliminary Amendment
	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
	15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
	16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
	17. <input type="checkbox"/> Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: .....

Prior application information: Examiner .....

Group Art Unit: .....

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>19. CORRESPONDENCE ADDRESS</b>				
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		31625		or <input type="checkbox"/> Correspondence address below
Name				
Address				
City		State	Zip Code	
Country		Telephone	Fax	

<b>Name (Print/Type)</b>	Paula D. Heyman	<b>Registration No. (Attorney/Agent)</b>	48,363
<b>Signature</b>		<b>Date</b>	9/12/2003

**\*Question 4 Addendum**

4.a. Drawings are ☒ formal ☐ informal

**\* Question 5 Addendum**

5.c. ☐ An unsigned oath or declaration is included.

**\* Question 8 Addendum**

8.d. ☐ A sequence submission will follow.

**\* Question 9 Addendum**

9.a. ☐ Assignment documents will follow.

9.b. ☐ Assignment documents have been filed in  
parent application No.

**\* Question 11 Addendum**

11.a. ☐ English translation will follow.

**\* Question 12 Addendum**

12.a. ☐ Copies of IDS citations will follow.

**\* Question 15 Addendum**

15.a. ☐ Certified copies of priority documents will follow.

15.b. ☐ Certified copies of priority documents have been filed in parent  
application No.

Use the space below for additional information

--

Title (continued):

System and Method for Detecting Differences Between Complex Images

## Addendum Sheet 2

18a. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_  
Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

18b. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_  
Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

18c. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_  
Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

☐ Amend the specification by inserting before the first line the sentence(s)

☐ "This application is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of:

☐ "This application is based upon:

prior application No.: \_\_\_\_\_, incorporated by reference herein,

☐ which is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of:

☐ which is based upon:  
prior application No. \_\_\_\_\_, incorporated by reference herein,

☐ which is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of:

☐ which is based upon:  
prior application No.: \_\_\_\_\_, incorporated by reference herein,

☐ which is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of:

☐ which is based upon:  
prior application No.: \_\_\_\_\_, incorporated by reference herein,

☐ which is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of:

☐ which is based upon:  
prior application No. \_\_\_\_\_, incorporated by reference herein,

☐ which is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of:

☐ which is based upon:  
prior application No. \_\_\_\_\_, incorporated by reference herein

Priority under 35.U.S.C. §119 is claimed based upon the following applications.

## Foreign Applications:

Country: _____	Serial No: _____	Filing Date: _____
Country: _____	Serial No: _____	Filing Date: _____
Country: _____	Serial No: _____	Filing Date: _____

## Provisional Applications:

Serial No: <u>60/410,154</u>	Filing Date: <u>09/12/2002</u>
Serial No: <u>60/410,156</u>	Filing Date: <u>09/12/2002</u>
Serial No: _____	Filing Date: _____

09/12/03

15541 U.S. PTO

BAKER BOTTS LLP

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 826

## Complete if Known

Application Number	Not Yet Assigned
Filing Date	September 12, 2003
First Named Inventor	Edgar Voelkl
Examiner Name	Not Yet Assigned
Group Art Unit	Not Yet Assigned
Attorney Docket No.	068062.0167

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

50-2148

Deposit  
Account  
Name

Baker Botts LLP

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee

Fee

Fee Description

Fee Paid

740

370

Utility filing fee

375

330

165

Design filing fee

510

255

Plant filing fee

740

370

Reissue filing fee

160

80

Provisional filing fee

SUBTOTAL (1) (\$ 375

## 2. EXTRA CLAIM FEES

Total Claims 47 - 20 \*\* = 27 x 9 = 243  
 Independent Claims 7 - 3 \*\* = 4 x 42 = 168  
 Multiple Dependent =

Large Entity Small Entity

Fee

Fee

Fee Description

18

9

Claims in excess of 20

84

42

Independent claims in excess of 3

280

140

Multiple dependent claim, if not paid

84

42

\*\* Reissue independent claims  
over original patent

18

9

\*\* Reissue claims in excess of 20  
and over original patent

SUBTOTAL (2)

(\$ 411

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large  
EntitySmall  
Entity

Fee

Fee

Fee Description

Fee Paid

130

65

Surcharge - late filing fee or oath

50

25

Surcharge - late provisional filing fee or  
cover sheet

130

130

Non-English specification

2,520

2,520

For filing a request for *ex parte* reexamination

920\*

920\*

Requesting publication of SIR prior to  
Examiner action

1,840\*

1,840\*

Requesting publication of SIR after  
Examiner action

110

55

Extension for reply within first month

400

200

Extension for reply within second month

920

460

Extension for reply within third month

1,440

720

Extension for reply within fourth month

1,960

980

Extension for reply within fifth month

320

160

Notice of Appeal

320

160

Filing a brief in support of an appeal

280

140

Request for oral hearing

1,510

1,510

Petition to institute a public use proceeding

110

55

Petition to revive - unavoidable

1,280

640

Petition to revive - unintentional

1,280

640

Utility issue fee (or reissue)

460

230

Design issue fee

620

310

Plant issue fee

130

130

Petitions to the Commissioner

50

50

Processing fee under 37 CFR 1.17(q)

180

180

Submission of Information Disclosure Stmt

40

40

Recording each patent assignment per  
property (times number of properties)

40

740

370

Filing a submission after final rejection  
(37 CFR § 1.129(a))

740

370

For each additional invention to be  
examined (37 CFR § 1.129(b))

740

370

Request for Continued Examination (RCE)

900

900

Request for expedited examination  
of a design application

Other fee (specify)

SUBTOTAL (3) (\$ 40

## SUBMITTED BY

Name (Print/Type)

Paula D. Heyman

Registration No.  
(Attorney/Agent)

48,363

Complete (if applicable)

Telephone

512.322.2581

Signature

Paula D. Heyman

Date

09/12/2003

WARNING: Information on this form may become public. Credit card information should not  
be included on this form. Provide credit card information and authorization on PTO-2038.

**CERTIFICATION UNDER 37 C.F.R. 1.8(a) OR 1.10\***

*(When using Express Mail, the Express Mail label number is mandatory; Express Mail Certification is optional.)*

I hereby certify that, on the date shown below, this correspondence is being:

Patent Transmittal (3 Pages); Fee Transmittal (1 Page); Specification (48 Pages); Formal Drawings (6 Pages); Executed Declaration & Power of Attorney (3 Pages); Executed Assignment with Cover Sheet (2 Pages); Information Disclosure Statement & Form 1449 (3 Pages) without References; \$786 Check; \$40 Check; & Postcard.

☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231.

**37 C.F.R. 1.8(a)**

☐ with sufficient postage as first class mail.

**37 C.F.R. 1.10\***

☒ as "Express Mail Post Office to Address"  
Mailing Label No. EV341125697US (mandatory)

Shannon Judice  
Signature

Date: September 12, 2003

Shannon Judice  
(type or print name of person certifying)

**\*WARNING:** Each paper of fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing 37 C.F.R. 1.10(b).  
"Since the filing of correspondence under § 1.10 without the Express Mail label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition. "Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.